

A Grothendieck-type theorem for the space of totally measurable functions

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ABSTRACT

Let Σ be a σ -algebra of subsets of a non-empty set Ω . Let X be a real Banach space and let X^* stand for the Banach dual of X . Let $B(\Sigma, X)$ be the Banach space of Σ -totally measurable functions $f: \Omega \rightarrow X$, and let $B(\Sigma, X)^*$ and $B(\Sigma, X)^{**}$ denote the Banach dual and the Banach bidual of $B(\Sigma, X)$ respectively. Let $bvca(\Sigma, X^*)$ denote the Banach space of all countably additive vector measures $\nu: \Sigma \rightarrow X^*$ of bounded variation. We prove a form of generalized Vitali–Hahn–Saks theorem saying that relative $\sigma(bvca(\Sigma, X^*), B(\Sigma, X))$ -sequential compactness in $bvca(\Sigma, X^*)$ implies uniform countable additivity. We derive that if X reflexive, then every relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$ -sequentially compact subset of $B(\Sigma, X)_{\mathcal{C}}^{\sim}$ ($=$ the σ -order continuous dual of $B(\Sigma, X)$) is relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -sequentially compact. As a consequence, we obtain a Grothendieck type theorem saying that $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$ -convergent sequences in $B(\Sigma, X)_{\mathcal{C}}^{\sim}$ are $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -convergent.

1. INTRODUCTION AND PRELIMINARIES

Let $(X, \|\cdot\|_X)$ be real Banach spaces and let B_X stand for the closed unit ball in X . Let X^* stand for the Banach dual of X . We denote by $\sigma(L, K)$ the weak topology with respect to a dual pair $\langle L, K \rangle$. For terminology concerning vector lattices we refer to [1]. By \mathbb{N} and \mathbb{R} we denote the sets of natural and real numbers.

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Let Σ be a σ -algebra of subsets of a non-empty set Ω . Let $\mathbb{1}_A$ denote the characteristic function of a set $A \in \Sigma$. By $\mathcal{S}(\Sigma, X)$ we denote the space of all X -valued Σ -simple functions $s = \sum_{i=1}^n \mathbb{1}_{A_i} \otimes x_i$, where $(A_i)_{i=1}^n$ is a Σ -partition of Ω , $x_i \in X$ for $1 \leq i \leq n$, and $(\mathbb{1}_{A_i} \otimes x_i)(\omega) = \mathbb{1}_{A_i}(\omega)x_i$ for $\omega \in \Omega$. Let $B(\Sigma, X)$ denote the Banach space of all Σ -totally measurable functions $f: \Omega \rightarrow X$ (the uniform limits of sequences of X -valued Σ -simple functions) provided with the supremum norm $\|\cdot\|_\infty$ (see [6, Section 6]). By $B(\Sigma, X)^*$ and $B(\Sigma, X)^{**}$ we will denote the Banach dual and the Banach bidual of $B(\Sigma, X)$ respectively. In case $X = \mathbb{R}$ we will simply write $B(\Sigma)$.

Denote by $\text{bva}(\Sigma, X^*)$ the linear space of all vector measures $\nu: \Sigma \rightarrow X^*$ of bounded variation, i.e., $|\nu|(\Omega) < \infty$. If we equip $\text{bva}(\Sigma, X^*)$ with the variation norm $\|\nu\| := |\nu|(\Omega)$, then $\text{bva}(\Sigma, X^*)$ is a Banach space. It is known that $B(\Sigma, X)^*$ can be identified with $\text{bva}(\Sigma, X^*)$ through the linear mapping: $\text{bva}(\Sigma, X^*) \ni \nu \mapsto \Phi_\nu \in B(\Sigma, X)^*$, where

$$\Phi_\nu(f) = \int_{\Omega} f(\omega) d\nu \quad \text{for all } f \in B(\Sigma, X),$$

and $\|\Phi_\nu\| = |\nu|(\Omega)$ (see [6, Section 9, Corollary 1, p. 148]).

For $f \in B(\Sigma, X)$ let $\tilde{f}(\omega) = \|f(\omega)\|_X$ for $\omega \in \Omega$. Then $\tilde{f} \in B(\Sigma)$. Recall that a sequence (u_n) in $B(\Sigma)$ is order convergent to $u \in B(\Sigma)$ (in symbols $u_n \xrightarrow{(o)} u$) if there exists a sequence (v_n) in $B(\Sigma)$ such that $|u_n - u| \leq v_n$ holds for all $n \in \mathbb{N}$ and $v_n \downarrow 0$ in $B(\Sigma)$ (see [1, Definition 1.5]).

Now we can define σ -order continuous functionals on $B(\Sigma, X)$ (see [8]).

Definition. A linear functional $\Phi: B(\Sigma, X) \rightarrow \mathbb{R}$ is said to be σ -order continuous whenever $\Phi(f_n) \rightarrow 0$ for each sequence (f_n) in $B(\Sigma, X)$ such that $\tilde{f}_n \xrightarrow{(o)} 0$ in $B(\Sigma)$. By $B(\Sigma, X)_c^\sim$ we will denote the set of all σ -order continuous linear functionals on $B(\Sigma, X)$. It is known that $B(\Sigma, X)_c^\sim \subset B(\Sigma, X)^*$ (see [8, Proposition 1.1]). For each $\omega \in \Omega$ and $x^* \in X^*$ let $\delta_{\omega, x^*}(f) = x^*(f(\omega))$ for all $f \in B(\Sigma, X)$. One can observe that $\delta_{\omega, x^*} \in B(\Sigma, X)_c^\sim$, so $B(\Sigma, X)_c^\sim$ separates the points of $B(\Sigma, X)$.

Let $\text{bvca}(\Sigma, X^*)$ stand for the closed linear subspace of the Banach space $\text{bva}(\Sigma, X^*)$ consisting of all those $\nu \in \text{bva}(\Sigma, X^*)$ which are countably additive (see [5, Chapter 1, p. 30]). Then the space $B(\Sigma, X)_c^\sim$ can be identified with $\text{bvca}(\Sigma, X^*)$ through the mapping: $\text{bvca}(\Sigma, X^*) \ni \nu \mapsto \Phi_\nu \in B(\Sigma, X)_c^\sim$ (see [8, Corollary 3.2]). In particular, $\text{bvca}(\Sigma, \mathbb{R}) = \text{ca}(\Sigma)$ (= the space of all countably additive scalar measures). By $\text{bva}(\Sigma, X^*)^*$ and $\text{bvca}(\Sigma, X^*)^*$ we will denote the Banach duals of $\text{bva}(\Sigma, X^*)$ and $\text{bvca}(\Sigma, X^*)$, respectively.

Schaefer and Zhang ([9, 11]) proved that if \mathcal{K} is a $\sigma(B(\Sigma)^*, B(\Sigma))$ -compact subset of $B(\Sigma)_c^\sim$ ($\cong \text{ca}(\Sigma)$), then \mathcal{K} is also $\sigma(B(\Sigma)^*, B(\Sigma)^{**})$ -compact and the subset of $\text{ca}(\Sigma)$ of corresponding measures is uniformly countably additive.

The aim of this paper is to extend these results to the case of the space $B(\Sigma, X)$. In Section 2, we prove a form of generalized Vitali–Hahn–Saks theorem saying that relative $\sigma(\text{bvca}(\Sigma, X^*), B(\Sigma, X))$ -sequential compactness in $\text{bvca}(\Sigma, X^*)$ implies

uniform countable additivity (see Theorem 2.1). In Section 3 we show that if X is a reflexive Banach space, then every relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$ -sequentially compact subset of $B(\Sigma, X)_c^\sim$ is relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -sequentially compact. As a consequence, we obtain a Grothendieck type theorem saying that $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$ -convergent sequences in $B(\Sigma, X)_c^\sim$ are $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -convergent.

2. A GENERALIZED VITALI–HAHN–SAKS TYPE THEOREM FOR $\text{bvca}(\Sigma, X^*)$

We start with the main result of this section.

Theorem 2.1. *Let \mathcal{M} be a relatively $\sigma(\text{bvca}(\Sigma, X^*), B(\Sigma, X))$ -sequentially compact subset of $\text{bvca}(\Sigma, X^*)$. Then the following statements hold:*

- (i) $\sup_{v \in \mathcal{M}} |v|(\Omega) < \infty$.
- (ii) $\{|v| : v \in \mathcal{M}\}$ is a uniformly countably additive subset of $\text{ca}^+(\Sigma)$.
- (iii) For each $A \in \Sigma$ the set $\{v(A) : v \in \mathcal{M}\}$ is relatively $\sigma(X^*, X)$ -sequentially compact in X^* .

Proof. (i) Since \mathcal{M} is $\sigma(\text{bvca}(\Sigma, X^*), B(\Sigma, X))$ -bounded (see [10, Problem 6-4-106, p. 86]), the set $\{\Phi_v : v \in \mathcal{M}\}$ is $\sigma(B(\Sigma, X)_c^\sim, B(\Sigma, X))$ -bounded. Hence by the Banach–Steinhaus theorem, we get $\sup_{v \in \mathcal{M}} |v|(\Omega) = \sup_{v \in \mathcal{M}} \|\Phi_v\| < \infty$.

(ii) Assume on the contrary that (ii) does not hold. Then in view of [4, Theorem 7.10] and the Rosenthal lemma (see [4, Chapter 7, p. 82]) there exist a pairwise disjoint sequence (A_n) in Σ , a positive number ε_0 and a sequence (v_n) in \mathcal{M} such that

$$(1) \quad |v_n|(A_n) > \varepsilon_0 \quad \text{and} \quad |v_n|\left(\bigcup_{j \neq n} A_j\right) < \frac{1}{8}\varepsilon_0 \quad \text{for all } n \in \mathbb{N}.$$

In view of (1) for each $n \in \mathbb{N}$ there exists a Σ -partition $(A_{n,i})_{i=1}^{i_n}$ of A_n such that $\sum_{i=1}^{i_n} \|v_n(A_{n,i})\|_{X^*} > \varepsilon_0$. Next, for each $i = 1, \dots, i_n$ there exists $x_{n,i} \in B_X$ such that

$$v_n(A_{n,i})(x_{n,i}) \geq \|v_n(A_{n,i})\|_{X^*} - \frac{1}{2^{i+1}}\varepsilon_0.$$

Let $g_n = \sum_{i=1}^{i_n} \mathbb{1}_{A_{n,i}} \otimes x_{n,i} \in \mathcal{S}(\Sigma, X)$. Then g_n vanishes off A_n with $\|g_n\|_\infty \leq 1$ and

$$\begin{aligned} \int_{\Omega} g_n(\omega) dv_n &= \sum_{i=1}^{i_n} v_n(A_{n,i})(x_{n,i}) \geq \sum_{i=1}^{i_n} \|v_n(A_{n,i})\|_{X^*} - \sum_{i=1}^{i_n} \frac{1}{2^{i+1}}\varepsilon_0 \\ &\geq \frac{1}{2}\varepsilon_0. \end{aligned}$$

Let (v_{k_n}) be any subsequence of (v_n) , and let $g = \sum_{n=1}^{\infty} g_{k_{2n}}$ for all $n \in \mathbb{N}$. Clearly $g \in B(\Sigma, X)$ with $\|g\|_{\infty} \leq 1$, $g = g_{k_{2n}}$ on $A_{k_{2n}}$ and $g = 0$ on $A_{k_{2n+1}}$ for all $n \in \mathbb{N}$. Hence by (1) for each $n \in \mathbb{N}$ we have

$$\begin{aligned} \int_{\Omega} g(\omega) dv_{k_{2n}} &= \int_{A_{k_{2n}}} g(\omega) dv_{k_{2n}} + \int_{\bigcup_{j \neq k_{2n}} A_j} g(\omega) dv_{k_{2n}} \\ &\geq \int_{\Omega} g_{k_{2n}}(\omega) dv_{k_{2n}} - |v_{k_{2n}}| \left(\bigcup_{j \neq k_{2n}} A_j \right) \\ &\geq \frac{1}{2} \varepsilon_0 - \frac{1}{8} \varepsilon_0 = \frac{3}{8} \varepsilon_0. \end{aligned}$$

Moreover, using (1) we get for each $n \in \mathbb{N}$,

$$\begin{aligned} \int_{\Omega} g(\omega) dv_{k_{2n+1}} &= \int_{\bigcup_{j=1}^{\infty} A_{k_{2j}}} g(\omega) dv_{k_{2n+1}} \\ &\leq \|g\|_{\infty} |v_{k_{2n+1}}| \left(\bigcup_{j=1}^{\infty} A_{k_{2j}} \right) \\ &\leq |v_{k_{2n+1}}| \left(\bigcup_{j \neq k_{2n+1}} A_j \right) < \frac{1}{8} \varepsilon_0. \end{aligned}$$

This means that (v_{k_n}) is not a $\sigma(\text{bvca}(\Sigma, X^*), B(\Sigma, X))$ -Cauchy sequence, because for $g \in B(\Sigma, X)$ the limit of $\langle v_{k_n}, g \rangle (= \int_{\Omega} g(\omega) dv_{k_n})$ does not exist. It follows that \mathcal{M} is not a $\sigma(\text{bvca}(\Sigma, X^*), B(\Sigma, X))$ -sequentially compact subset of $\text{bvca}(\Sigma, X^*)$.

(iii) Note that for each $A \in \Sigma$ the mapping $\text{bvca}(\Sigma, X^*) \ni v \mapsto v(A) \in X^*$ is $(\sigma(\text{bvca}(\Sigma, X^*), B(\Sigma, X)), \sigma(X^*, X))$ -continuous. Hence for each $A \in \Sigma$, the set $\{v(A) : v \in \mathcal{M}\}$ is relatively $\sigma(X^*, X)$ -sequentially compact. \square

In view of Theorem 2.1 and [4, Theorem 7.13] we immediately obtain the following.

Corollary 2.2. *Let \mathcal{M} be a relatively $\sigma(\text{bvca}(\Sigma, X^*), B(\Sigma, X))$ -sequentially compact subset of $\text{bvca}(\Sigma, X^*)$. Then there exists $\mu \in \text{ca}^+(\Sigma)$ such that $\lim_{\mu(A) \rightarrow 0} \sup_{v \in \mathcal{M}} |v|(A) = 0$.*

The following classical criterion for weak compactness in $\text{bvca}(\Sigma, X^*)$ will be of importance (see [2, Corollary 1], [3, Proposition 3.1, p. 151]).

Theorem 2.3. *Assume that X is a reflexive Banach space. Then for a subset \mathcal{M} of $\text{bvca}(\Sigma, X^*)$ the following statements are equivalent:*

- (i) \mathcal{M} is relatively $\sigma(\text{bvca}(\Sigma, X^*), \text{bvca}(\Sigma, X^*)^*)$ -compact.
- (ii) \mathcal{M} is relatively $\sigma(\text{bvca}(\Sigma, X^*), \text{bvca}(\Sigma, X^*)^*)$ -sequentially compact.

- (iii) $\sup_{v \in \mathcal{M}} |v|(\Omega) < \infty$ and the set $\{|v|: v \in \mathcal{M}\}$ in $ca^+(\Sigma)$ is uniformly countably additive.

As a consequence of Theorems 2.1 and 2.3 we have the following corollary.

Corollary 2.4. *Assume that X is a reflexive Banach space. Then for a bounded subset \mathcal{M} of the Banach space $bvca(\Sigma, X^*)$ the following statements are equivalent:*

- (i) \mathcal{M} is relatively $\sigma(bvca(\Sigma, X^*), bvca(\Sigma, X^*)^*)$ -compact.
- (ii) \mathcal{M} is relatively $\sigma(bvca(\Sigma, X^*), bvca(\Sigma, X^*)^*)$ -sequentially compact.
- (iii) The set $\{|v|: v \in \mathcal{M}\}$ in $ca^+(\Sigma)$ is uniformly countably additive.
- (iv) \mathcal{M} is relatively $\sigma(bvca(\Sigma, X^*), B(\Sigma, X))$ -sequentially compact.

3. A GROTHENDIECK TYPE THEOREM FOR $B(\Sigma, X)$

For a subset \mathcal{K} of $B(\Sigma, X)^*$ let $\mathcal{M}_{\mathcal{K}} = \{v \in bvca(\Sigma, X^*): \Phi_v \in \mathcal{K}\}$.

Theorem 3.1. *Assume that X is a reflexive Banach space. Then for a bounded subset of \mathcal{K} of $B(\Sigma, X)_c^\sim$ the following statements are equivalent:*

- (i) \mathcal{K} is relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -compact.
- (ii) \mathcal{K} is relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -sequentially compact.
- (iii) \mathcal{K} is relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$ -sequentially compact.
- (iv) The set $\{|v|: v \in \mathcal{M}_{\mathcal{K}}\}$ in $ca^+(\Sigma)$ is uniformly countably additive.

Proof. (i) \Leftrightarrow (ii) It follows from the Eberlein theorem.

(ii) \Rightarrow (iii) It is obvious.

(iii) \Rightarrow (iv) Assume that \mathcal{K} is relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$ -sequentially compact. Since $B(\Sigma, X)_c^\sim$ is sequentially closed in $(B(\Sigma, X)^*, \sigma(B(\Sigma, X)^*, B(\Sigma, X)))$ (see [8, Corollary 2.3]), we conclude that \mathcal{K} is relatively $\sigma(B(\Sigma, X)_c^\sim, B(\Sigma, X))$ -sequentially compact subset of $B(\Sigma, X)_c^\sim$. Hence by Theorem 2.1 the set $\{|v|: v \in \mathcal{M}_{\mathcal{K}}\}$ is uniformly countably additive.

(iv) \Rightarrow (i) Assume that the set $\{|v|: v \in \mathcal{M}_{\mathcal{K}}\}$ in $ca^+(\Sigma)$ is uniformly countably additive. Then by Corollary 2.4 $\mathcal{M}_{\mathcal{K}}$ is a relatively $\sigma(bvca(\Sigma, X^*), bvca(\Sigma, X^*)^*)$ -compact subset of $bvca(\Sigma, X^*)$. This means that \mathcal{K} is a relatively compact set in $(B(\Sigma, X)_c^\sim, \sigma(B(\Sigma, X)_c^\sim, (B(\Sigma, X)_c^\sim)^*))$. Moreover, since $bvca(\Sigma, X^*)$ is a closed subset of the Banach space $bva(\Sigma, X^*)$, we have that $B(\Sigma, X)_c^\sim$ is a closed subset of the Banach space $B(\Sigma, X)^*$. Hence $B(\Sigma, X)_c^\sim$ is a closed set in $(B(\Sigma, X)^*, \sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**}))$, so

$$cl_{\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})} \mathcal{K} \subset B(\Sigma, X)_c^\sim.$$

Note that

$$(2) \quad \sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})|_{B(\Sigma, X)_c^\sim} = \sigma(B(\Sigma, X)_c^\sim, (B(\Sigma, X)_c^\sim)^*)$$

(see [7, Corollary 3.3.3]). It follows that

$$(3) \quad \text{cl}_{\sigma(B(\Sigma, X)_c^\sim, (B(\Sigma, X)_c^\sim)^*)} \mathcal{K} = \text{cl}_{\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})} \mathcal{K}.$$

Since $\text{cl}_{\sigma(B(\Sigma, X)_c^\sim, (B(\Sigma, X)_c^\sim)^*)} \mathcal{K}$ is a $\sigma(B(\Sigma, X)_c^\sim, (B(\Sigma, X)_c^\sim)^*)$ -compact subset of $B(\Sigma, X)_c^\sim$, in view of (2) and (3) we obtain that $\text{cl}_{\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})} \mathcal{K}$ is a $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -compact subset of $B(\Sigma, X)^*$, i.e., \mathcal{K} is relatively $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -compact, as desired. \square

As a consequence of Theorem 3.1 we obtain that if X is reflexive, then $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$ -convergent sequences in $B(\Sigma, X)_c^\sim$ are $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$ -convergent.

Corollary 3.2. *Assume that X is a reflexive Banach space. Let $v_n \in \text{bvca}(\Sigma, X^*)$ for $n \in \mathbb{N}$ and $v \in \text{bvca}(\Sigma, X^*)$. Then the following statements are equivalent:*

- (i) $v_n \xrightarrow{n} v$ for $\sigma(\text{bva}(\Sigma, X^*), \text{bva}(\Sigma, X^*)^*)$.
- (ii) $\Phi_{v_n} \xrightarrow{n} \Phi_v$ for $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$.
- (iii) $\Phi_{v_n} \xrightarrow{n} \Phi_v$ for $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$, i.e., $\int_\Omega f(\omega) dv_n \xrightarrow{n} \int_\Omega f(\omega) dv$ for each $f \in B(\Sigma, X)$.

Moreover, if $\sup_n |v_n|(\Omega) < \infty$, then each of the statements (i)–(iii) is equivalent to the following.

$$(iv) \quad v_n(A)(x) \xrightarrow{n} v(A)(x) \text{ for each } A \in \Sigma \text{ and } x \in X.$$

Proof. (i) \Leftrightarrow (ii) \Rightarrow (iii) It is obvious.

(iii) \Rightarrow (ii) Assume that $\Phi_{v_n} \xrightarrow{n} \Phi_v$ for $\sigma(B(\Sigma, X)^*, B(\Sigma, X))$ and let $(\Phi_{v_{k_n}})$ be a subsequence of (Φ_{v_n}) . Then $\mathcal{K} = \{\Phi_{v_{k_n}} : n \in \mathbb{N}\}$ is a relatively sequentially compact subset of $(B(\Sigma, X)^*, \sigma(B(\Sigma, X)^*, B(\Sigma, X)))$. By Theorem 3.1 \mathcal{K} is a relatively sequentially compact subset of $(B(\Sigma, X)^*, \sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**}))$, so there exists a subsequence $(\Phi_{v_{l_{k_n}}})$ of $(\Phi_{v_{k_n}})$ such that $\Phi_{v_{l_{k_n}}} \xrightarrow{n} \Phi_v$ for $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$.

This means that $\Phi_{v_n} \xrightarrow{n} \Phi_v$ for $\sigma(B(\Sigma, X)^*, B(\Sigma, X)^{**})$, as desired.

(iii) \Rightarrow (iv) It is obvious.

(iv) \Rightarrow (iii) Assume that (iv) holds, i.e., $\Phi_{v_n}(\mathbb{1}_A \otimes x) \xrightarrow{n} \Phi_v(\mathbb{1}_A \otimes x)$ for each $A \in \Sigma$ and $x \in X$. Since $\text{cl}_{\|\cdot\|_\infty} \mathcal{S}(\Sigma, X) = B(\Sigma, X)$ and $\sup_n \|\Phi_{v_n}\| = \sup_n |v_n|(\Omega) < \infty$, by the Banach–Steinhaus theorem we conclude that $\Phi_{v_n}(f) \xrightarrow{n} \Phi_v(f)$ for all $f \in B(\Sigma, X)$. \square

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